



Kivu Mineral Resources Sarl.

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SUPPLY CHAIN POLICY IN CONFLICT OR HIGH RISK AREAS.

Since the creation on June 2, 2000, by the United Nations Security Council, of the first panel of the group of experts for the Democratic Republic of Congo and the Great Lakes Region and whose first report was published in October 2003, the illegal exploitation of mineral resources has been proven to perpetuate conflict and fuel human rights atrocities in the Great Lacs Region in general and in the eastern part of the Democratic Republic of Congo.

Colombo-tantalite (coltan), cassiterite, wolframite and gold, or their derivatives, including tantalum, tin and tungsten, designated as conflict minerals by ICGLR Member States, originating from conflicts areas can gain insidiously access to the international marketing circuit for products used around the world if upstream and downstream companies do not take measures to prevent batches of illicitly exploited minerals from entering the international supply chain sourcing.

KIVU MIERAL RESOURCES SARL, anxious to give credibility to its supply chain in this unstable and fragile region, has developed a policy to comply with the requirements of the OECD GUIDE on DUE DILIGENCE.

It is KIVU MIERAL RESOURCES SARL.'s policy to avoid supplying, purchasing or processing any mineral that directly or indirectly funds or benefits armed groups in conflict-affected regions.

Therefore, KIVU MINERAL RESOURCES SARL is fully committed to respecting the position of the Organization for Economic Co-operation and Development (OECD) regarding responsible management of the supply chain, the Dodd-Frank law and the regulations of the European Union on Conflict Minerals to avoid the use of minerals and metals that funds or benefits armed groups in the Democratic Republic of Congo or neighboring countries.

KIVU MINERAL RESOURCES SARL undertakes to refrain from any act which contributes to the financing of a conflict, to massive violations of human rights and international humanitarian law, to money laundering, to the financing of international terrorism , to the violation of the arms embargo on the DRC by complying with the relevant resolutions of the United Nations Security Council on sanctions and, where applicable, with the national laws implementing these resolutions.

KIVU MINERAL RESOURCES SARL guarantees full compliance with national and international laws and regulations.



In support of this responsible procurement policy, KIVU MINERAL RESOURCES SARL undertakes to:

- Conduct due diligence with relevant suppliers in accordance with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas and encourage our suppliers to do the same with their suppliers and partners;
- Adopt risk management procedures to minimize the different types of risks within its operations and throughout the logistics supply chain with particular emphasis on serious violations such as human rights violations, forced labour and child labour, war crimes and similar serious violations of humanitarian law, wide spread sexual violence, genocide, payments to non-state armed groups or state armed forces. In addition, KIVU MINERAL RESOURCES SARL will use the risk management process to improve its understanding of local dynamics and the balance of power between different interested parties without forgetting the context and possibly the interactions between the said context and the balance of power between interested parties in order to find options adapted to the company's internal policy;
- Identify the presence of public and private security forces in the areas where a supplier operates so as to possibly establish that there is a reasonable risk that a supplier sources from, or is linked to, a party committing such acts abuse. In this case KIVU MINERAL RESOURCES SARL must immediately suspend or terminate the relationship with the party concerned;
- Take all reasonable measures to contribute to the elimination of money laundering, corruption, misappropriation and influence peddling;
- Require that all shipments falling into the Class 7 category comply with appropriate national and international regulatory frameworks as some minerals from conflict or high risk areas may also contain radioactive materials, such as thorium and uranium;
- Interact with governments, communities and NGOs, in countries and areas affected by minerals conflict, to develop initiatives and strategies to ensure greater transparency and accountability in the supply chain while reducing the financial and bureaucratic burdens imposed on miners and various stakeholders in the industry.
- Take all appropriate measures in the event that a serious violation of human rights is suspected or detected.
- Identify and record any potential risk or incident up and down the supply chain for appropriate mitigation measures.
- Refrain from engaging the company in any activity that benefits or attempts to disguise the origin of the minerals, so as to evade the payment of duties, taxes, fees and royalties due to governments in the operations of extraction, marketing , handling, transport and export of minerals.
- Transparently report on the implementation of this policy by making available to our customers, relevant stakeholders and the public reports on progress and performance in the implementation of this policy via our website.
- Communicate this policy to all customers, contractors and suppliers as part of the procurement process.
- Condition any business relationship with suppliers or buyers on compliance by both parties with this policy.

Employees, members of the public, suppliers and stakeholders are encouraged to contact the KIVU MINERAL RESOURCES SARL's Staff responsible of DUE DILIGENCE to these email addresses office@kivumineral.com, director@kivumineral.com, mar.medelis@gmail.com with any comments, questions or concerns about this policy or any actions or inactions here above linked.

Dohé, Goma, May 8th, 2023

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